



sector \$

**PATENT**

Attorney Docket No. UC98-075-2

Applicant: STEPHEN D. McGLOUGHLIN  
Serial No.: 09/262,172  
Filed: MARCH 3, 1999  
Title: METHOD AND APPARATUS FOR ACCESSING AND DISPLAYING MULTIMEDIA  
CONTENT  
Group:  
Examiner:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

5/24/99

John P. O'Banion, Reg. No. 33,201

5/24/99  
Date of Signature

**BOX MISSING PARTS**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**SUBMISSION OF MISSING PARTS OF APPLICATION**  
**UNDER 37 C.F.R. § 1.53**

Dear Sir:

In response to the Notice to File Missing Parts of Application (PTO-1533) mailed March 23, 1999, a copy of which is enclosed, please find the following documents which are incorporated by reference herein:

1. Combined Declaration and Power of Attorney;
2. Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(b)) - Independent Inventor;
3. Assignment Cover Letter;

4. Assignment;
5. Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(d) - Non-Profit Organization;
6. Power of Attorney by Assignee and Exclusion of Inventor(s) Under 37 C.F.R. 3.71;
7. Check in the amount of \$562 (\$497 filing fees and \$65 surcharge for the fees due as a small entity);
8. Check in the amount of \$40 assignment recording fee.

The amount due for the filing fees and surcharge has been computed as follows:

CLAIMS AS FILED							
	Number filed		Number Extra		Rate		Basic Fee \$ 760.00
Total							
Claims 37 CFR 1.16(c)	6 - 20	=	0	X	\$18.00	=	
Independent							
Claims (37 CFR 1.16(b))	6 - 3	=	3	X	\$78.00	=	234.00
Multiple dependent claim(s), if any (37 CFR 1.16(d))				+	\$260.00	=	

Filing Fee Calculation	\$ 994.00
Surcharge	\$ 130.00
<b>TOTAL FILING FEE</b>	<b>\$ 1,124.00</b>

**Small Entity Statement(s)**

X Verified Statements(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is enclosed.

**Filing Fee Calculation (50% of above)** \$ 562.00

**Amount already paid** LESS (\$ )

**TOTAL FILING FEES DUE** \$ 562.00

X Assignment recording fee \$ 40.00

— Petition fee \$

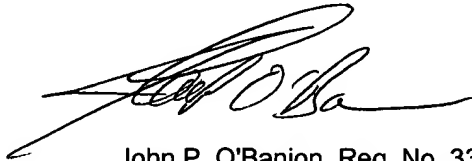
**TOTAL FEES DUE** \$ 602.00

Please charge any additional fees due to deposit account no. 07-1137.

Having now complied with all of the requirements of 37 C.F.R. 1.53, the Applicants respectfully request that this application be placed upon the files for examination.

Dated: 5/24/99.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John P. O'Banion", written in a cursive style.

John P. O'Banion, Reg. No. 33,201  
GERBER, RITCHEY & O'BANION LLP  
400 Capitol Mall, Suite 1550  
Sacramento, CA 95814  
(916) 498-1010

MAY 27 1999



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
0262/0323	03/23/99	0262/0323	2

HEBER R. LUFFY & O'BANION  
400 CAPITAL MALL  
SUITE 1550  
SACRAMENTO, CA 95814

0262/0323

NOT ASSIGNED

2771

03/23/99

DATE MAILED:

### NOTICE TO FILE MISSING PARTS OF APPLICATION

*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☐ non-small entity is \$ 1121.00

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ 251.00, including any multiple dependent claim fees, are required.

\$ 33.10 for 3 independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825"

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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:201 :205 :202